# **HOWREY & SIMON**

Attorneys at Law 1299 Pennsylvania Ave., NW Washington, DC 20004-2402 (202) 783-0800 FAX (202) 383-6610

February 24, 1999

John Doll Crystal Mall 1 Room 12A05 U.S. Patent & Trademark Office **HAND DELIVERED** 

Re:

U.S. Patent Application No. 09/206,041

Filed: December 4, 1998

Applicants: Joseph R. BYRUM et al.

For: Nucleic Acid Molecules and Other Molecules Associated

with Plants

Atty. Docket: 04983.0151/38-21(15446)B

Dear John:

Enclosed is a courtesy copy of the Information Disclosure Statement and PTO Form 1449 (3 pages) with 8 references filed today in the above-captioned application.

Sincerely,

Timothy W. Riffe (Reg. No. 43,881)

**Enclosures** 

cc: David R. Marsh (w/o enclosures)

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# **HOWREY & SIMON**

Attorneys at Law 1299 Pennsylvania Ave., NW Washington, DC 20004-2402 (202) 783-0800 FAX (202) 383-6610

February 24, 1999

1



Assistant Commissioner for Patents Washington, D.C. 20231

> Re: U.S. Non-Provisional Utility Patent Application

> > Appl. No. 09/206,041; Filed: December 4, 1998

**Nucleic Acid Molecules and Other Molecules** 

**Associated with Plants** 

Joseph R. Byrum et al. Inventors:

04983.0151.US01/38-21(15446)B Our Ref:

Sir:

Transmitted herewith for appropriate action by the U.S. Patent and Trademark Office (PTO) are the following documents:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449 (3 pages) with 8 references; and
- 3. Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038. A duplicate copy of this letter is enclosed.

Respectfully submitted,

David R. Marsh (Reg. No. 41,408)

Timothy W. Riffe (Reg. No. P43,881)

**Enclosures** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Joseph R. Byrum, et al.

Appl. No. 09/206,041

Filed: December 4, 1998

For: **Nucleic Acid Molecules And Other Molecules Associated With Plants** 

Art Unit:

Examiner: To be assigned

Atty. Docket: 04983.0151.US01/

38-21(15446)B

### **Information Disclosure Statement**

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each listed document is provided.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038.

Respectfully submitted,

David R. Marsh (Reg. No. 41,408)

Timothy W. Riffe (Reg. No. P43,881)

Date:

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Washington, DC 20004-2402

(202) 783-0800

ATTY. DOCKET NO. 04983.0151.US01/38-21(15446)B APPLICATION NO.: 09/206,041

#### FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

APPLICANT: Joseph R. Byrum, et al.

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_	Adams et al., "Complementary DNA Sequencing: Expressed Sequence Tags and Human Genome Project," Science, 252:1651-1656 (1991).						
	Ebert et al., "Identification of an essential upstream element in the nopaline synthase promoter by stable and transient assays," Proc Natl. Acad. Sci. (USA) 84:5745-5749 (1987).						
1 Efstratiadis e	et al., "Enzymatic in \	Vitro Synthesis of Globin Gene	s," <i>Cell</i> 7:279-288 (	1976).			
			DATEC	ONSIDERED			
	Adams et al. 252:1651-16  Ebert et al., assays," Pro	Adams et al., "Complementary D. 252:1651-1656 (1991).  Ebert et al., "Identification of an e assays," Proc Natl. Acad. Sci. (U. 1)  Efstratiadis et al., "Enzymatic in erence considered, whether or not cita	Adams et al., "Complementary DNA Sequencing: Expressed Sec 252:1651-1656 (1991).  Ebert et al., "Identification of an essential upstream element in the assays," Proc. Natl. Acad. Sci. (USA) 84:5745-5749 (1987).  Efstratiadis et al., "Enzymatic in Vitro Synthesis of Globin General Considered, whether or not citation is in conformance with	Ebert et al., "Identification of an essential upstream element in the nopaline synthase passays," Proc. Natl. Acad. Sci. (USA) 84:5745-5749 (1987).  Efstratiadis et al., "Enzymatic in Vitro Synthesis of Globin Genes," Cell 7:279-288 (DATE Co.	Adams et al., "Complementary DNA Sequencing: Expressed Sequence Tags and Human Genome Proj 252:1651-1656 (1991).  Ebert et al., "Identification of an essential upstream element in the nopaline synthase promoter by stabl assays," Proc Natl. Acad. Sci. (USA) 84:5745-5749 (1987).  Efstratiadis et al., "Enzymatic in Vitro Synthesis of Globin Genes," Cell 7:279-288 (1976).  DATE CONSIDERED  erence considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is in conformance with MPEP 609.		

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				FILING DATE: December	FILING DATE: December 4, 1998 GROUP: 2833					
			U.	S. PATENT DOCUMENTS						
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	AL2						Yes No			
	AM2						Yes No			
	AN2						Yes No			
	AO2						Yes No			

Yes AP2 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR <u>2</u> Ko, "An 'equalized cDNA library' by the reassociation of short double-stranded cDNAs," Nucleic Acids Res. 18:5705-5711 (1990). AS 2 Kurata et al., "A 300 kilobase interval genetic map of rice including 883 expresesd sequences," Nature Genetics, 8:365-372 (1994). ΑT <u>2</u> McCombie et al., "Caenor habditis elegans expressed sequence tags identify gene families and potential disease gene homologues," Nature Genetics, 1:124-131 (1992).

EXAMINER DATE CONSIDERED

**EXAMINER**: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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